



1634/4

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

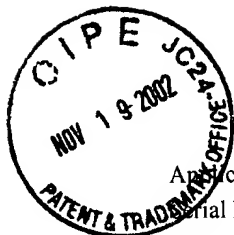
<b>Application No.</b>	<b>09/782,588</b>		
	<b>Filing Date</b>	<b>02/12/2001</b>	
	<b>First Named Inventor</b>	<b>KAIN, et al.</b>	
	<b>Examiner Name</b>	<b>Forman, B. J.</b>	
	<b>Group Art Unit</b>	<b>1634</b>	
<b>Total Number of Pages in This Submission</b>	<b>22</b>	<b>Attorney Docket No.</b>	<b>A-68950-1/RMS/DCF/SRN</b>

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) (3 pages)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Check No. 2001 for \$200.00
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Self-Addressed Return Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, No. of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Please charge any additional fees or credit any overpayment to Deposit Account No. 50-2319 (our Order No. 469249-00117 (A-68950-1/RMS/DCF/SRN))	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gurjev K. Sachdeva, Reg. No. 37,434
Signature	<i>Gurjev K. Sachdeva</i>
Date	13 NOVEMBER 2002

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NOV 25 2002  
TECH CENTER 1600/2900

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with Sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on this date:			11/13/2002
Typed or printed name	Stephanie R. Neutz		
Signature	<i>Stephanie R. Neutz</i>	Date	13 November 2002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#10  
CO  
12/3/02

Applicant(s): KAIN, et al.  
Serial No.: 09/782,588  
Filing Date: 12 February 2001

File No.: A-68950-1/RMS/DCF/SRN  
Examiner: B.J. Forman  
Group Art Unit: 1634

For: **ALTERNATIVE SUBSTRATES AND  
FORMATS FOR BEAD-BASED ARRAY  
OF ARRAYS™**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 13 November 2002

Signed: Stephanie R. Neut

Stephanie R. Neut

PETITION FOR EXTENSION OF TIME

Assistant Commissioner for Patents  
Washington, D.C. 20231

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NOV 25 2002

Sir:

TECH CENTER 1600/2900

Pursuant to 37 C.F.R. 1.136(a), an extension of time of:

		<u>Large Entity</u>		<u>Small Entity</u>
One Month:	[ ]	\$ 110.00	[ ]	\$ 55.00
Two Months:	[ ]	\$ 400.00	[✓]	\$ 200.00
Three Months:	[ ]	\$ 920.00	[ ]	\$ 460.00
Four Months:	[ ]	\$ 1,440.00	[ ]	\$ 720.00
Five Months:	[ ]	\$ 1,960.00	[ ]	\$ 980.00

is hereby requested to

- [✓] respond to the Official Action mailed 13 June 2002
- [ ] file a Notice of Appeal in response to a final rejection mailed \_\_\_\_\_
- [ ] file an Appeal Brief now due \_\_\_\_\_
- [ ] other (specify): \_\_\_\_\_

The requisite fee pursuant to 37 C.F.R. 1.17 is:

- [✓] enclosed by Check No. 2001.
- [ ] to be charged to Deposit Account No. 50-2319 (Order No. \_\_\_\_\_)
- [✓] Please charge any additional fees or credit any overpayment to Deposit Account No. 50-2319 (Order No. A-68950-1/RMS/DCF/SRN).

Respectfully submitted,

DORSEY & WHITNEY LLP

By Gurjev K. Sachdeva

Gurjev K. Sachdeva, Reg. No. 37,434  
Attorneys for Applicant(s)

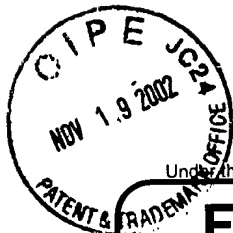
11/21/2002 AOSHAM1 00000027 09782588

01 FC:2252

200.00 DP

Date: 13 November 2002

Four Embarcadero Center, Suite 3400  
San Francisco, California 94111-4187  
Tel.: (415) 781-1989  
Fax: (415) 398-3249 SF-1098017v1



PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 200.00

**Complete if Known**

Application Number	09/782,588
Filing Date	02/12/2001
First Named Inventor	KAIN, et al.
Examiner Name	Forman, B.J.
Group Art Unit	1634
Attorney Docket No.	A-68950-1/RMS/DCF/SRN

RECEIVED

NOV 25 2002

TECH CENTER 1600

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-2319

Deposit Account Name

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code Fee Code Fee Description

101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$ )

**2. EXTRA CLAIM FEES**

Total Claims		-20** =	0	X		=	
Independent Claims		-3** =	0	X		=	
Multiple Dependent						=	

Large Entity Small Entity

Fee Code Fee Code Fee Description

103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	200.00
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 200.00

**SUBMITTED BY**

Name (Print/Type) Gurjeet K. Sachdeva

Registration No. (Attorney/Agent) 37,434

**Complete (if applicable)**

Telephone 415/781-1989

Signature

Gurjeet K. Sachdeva

Date 11/13/2002

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.